



UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Unknown

Art Unit: 1615

Re:

Application of:

GREENWALD, Richard B., et al.

Serial No.:

09/823,296

Filed:

March 29, 2001

For:

TERMINALLY-BRANCHED POLYMERIC

LINKERS CONTAINING EXTENSION MOIETIES AND POLYMERIC CONJUGATES CONTAINING

THE SAME

SMALL ENTITY STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

June 7, 2001

Sir:

Applicants hereby claim Small Entity status.

Respectfully submitted,

ROBERTS & MERCANTI, L.L.P

Michael N. Mercanti

Reg. No. 33,966

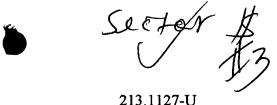
ROBERTS & MERCANTI, L.L.P. EDC II 105 Lock Street, Suite 203 Newark, New Jersey 07103 (973)621-0660

FIRST CLASS MAIL CERTIFICATION
I hereby certify that this correspondence and/or fee is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the "Commissioner of Patents and Trademarks, Washington, D.C. 20231" on June 7, 2001

ROBERTS & MERCANTI, L.L.P.

Ву:	
Michael N. Mercanti K:wp00csen20001120-1120-1121-11-11-1121-1121-1121	





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RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

June 7, 2001

Sir:

This is in response to the Notice to File Missing Parts dated May 17, 2001. Applicants have enclosed a statement claiming small entity status and an executed Declaration/Power of Attorney. Further, Applicants submit herewith a Preliminary Amendment, amending the abstract to conform to 37 C.F.R. 1.72(b).

Please charge Deposit Account No. 50-0217 in the amount of \$420.00 to cover the requisite filing fee (small entity). Any deficiency or overpayment should be charged or credited to Deposit Account No. 50-0217. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

ROBERTS & MERCANTI, L.L.P.

By:

Michael N. Mercanti Reg. No. 33,966

ROBERTS & MERCANTI, L.L.P.

EDC II, 105 Lock Street

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ROBERTS & MERCHANT 11 P

Michael N. Mercanti

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United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/823,296

03/29/2001

Richard B. Greenwald

213.1127-U

CONFIRMATION NO. 6827

FORMALITIES LETTER

ROBERTS & MERCANTI, L.L.P. EDC II 105 Lock Street, Suite 203 Newark, NJ 07103

Date Mailed: 05/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/12/2001 SMINASS1 00000056 500217

355.00 CH

65.00 CH

01 FC:201

02 FC:205

09823296

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE